

$x = 1$

Resources: The slides of this lecture were derived from [Järvi], with permission of the original author, by copy & paste or by selection, annotation, or rewording. [Järvi] is in turn based on [Pierce] as the underlying textbook.

*let x = 1 in ...*

$x(1).$

$!x(1)$

*x.set(1)*

## Programming Language Theory

# The Simply Typed Lambda Calculus

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# Towards typed lambda calculus

- Now suppose you want to distinguish values of different types:
  - ◆ Booleans
  - ◆ Numbers
  - ◆ **Functions on Booleans**
  - ◆ **Functions on functions on Booleans**
  - ◆ Products, sums of ...
  - ◆ ...
- These types need to be ...
  - ◆ specified in the program, and
  - ◆ checked to be correct.

# Setting up the simply typed lambda calculus

- Define syntax for simple types and function types.
- Extend lambda abstractions for explicit types.
- Define typing rules.
- Revise reduction semantics.
- Establish type safety.
- Consider extensions.

# Revised lambda abstraction

- Lambda abstractions are annotated with types:

$$\lambda x : T. t$$

- Grammar of types:

$$T ::= \mathbf{bool}$$
$$\mathbf{nat}$$
$$T \rightarrow T$$

We only consider these simple types here for simplicity.

# Examples

- What are the types of these terms?

- ♦  $\lambda x : \text{bool}.x$

- ♦  $\lambda f : \text{bool} \rightarrow \text{bool}.f\ x$

Note that lambda variables are typed explicitly.

- Here are the same terms for the untyped calculus:

- ♦  $\lambda x .x$

- ♦  $\lambda f.f\ x$

# Meaningless terms

- Some terms diverge.
- Some applications are ill-typed, e.g.:

$(\lambda f : \text{bool} \rightarrow \text{bool}. f x) \text{ true}$

- Goal: a type system to reject ill-typed terms.

# Typing relation with context

$\Gamma \vdash t : T$     *Term  $t$  has type  $T$  in the typing context  $\Gamma$*

- A typing context is a sequence of bindings.
- Each binding is a variable-type pair, e.g.:  $x : T$ .
- Contexts are composed as in  $\Gamma, x : T$ .
- All variable names are distinct for a given  $\Gamma$ .
- $\Gamma$  can be omitted if it is empty.
- $\Gamma$  can be empty for closed terms.

# Typing rules for simply-typed lambda calculus

- $x, y, z, f, g$  range over variables
- $s, t, u$  range over terms
- $S, T, U$  range over types

$$\frac{\text{T-Variable} \\ x : T \in \Gamma}{\Gamma \vdash x : T}$$

$$\frac{\text{T-Abstraction} \\ \Gamma, x : T \vdash u : U}{\Gamma \vdash \lambda x : T. u : T \rightarrow U}$$

$$\frac{\text{T-Application} \\ \Gamma \vdash t : U \rightarrow T \quad \Gamma \vdash u : U}{\Gamma \vdash t u : T}$$



# Rules for **bool**

T-True

$\vdash \text{true} : \text{bool}$

T-False

$\vdash \text{false} : \text{bool}$

These typing rules illustrate one option to add specific types and their operations to a basic lambda calculus. Basically, **we need to add one rule per operation.**

# Typing derivations

Construct derivations as proofs of terms having a certain type.

$$\begin{array}{c}
 \frac{f : \text{bool} \rightarrow \text{bool} \in f : \text{bool} \rightarrow \text{bool}}{f : \text{bool} \rightarrow \text{bool} \vdash f : \text{bool} \rightarrow \text{bool}} \quad \frac{f : \text{bool} \rightarrow \text{bool} \vdash \text{false} : \text{bool}}{f : \text{bool} \rightarrow \text{bool} \vdash f \text{ false} : \text{bool}} \\
 \hline
 \vdash (\lambda f : \text{bool} \rightarrow \text{bool}. f \text{ false}) : (\text{bool} \rightarrow \text{bool}) \rightarrow \text{bool}
 \end{array}
 \qquad
 \begin{array}{c}
 \frac{g : \text{bool} \in g : \text{bool}}{g : \text{bool} \vdash g : \text{bool}} \\
 \hline
 \vdash \lambda g : \text{bool}. g : \text{bool} \rightarrow \text{bool}
 \end{array}$$

$$\frac{\vdash (\lambda f : \text{bool} \rightarrow \text{bool}. f \text{ false}) : (\text{bool} \rightarrow \text{bool}) \rightarrow \text{bool} \quad \vdash \lambda g : \text{bool}. g : \text{bool} \rightarrow \text{bool}}{\vdash (\lambda f : \text{bool} \rightarrow \text{bool}. f \text{ false}) \lambda g : \text{bool}. g : \text{bool}}$$

$$\begin{array}{c}
 \text{T-Variable} \\
 x : T \in \Gamma \\
 \hline
 \Gamma \vdash x : T
 \end{array}$$

$$\begin{array}{c}
 \text{T-Abstraction} \\
 \Gamma, x : T \vdash u : U \\
 \hline
 \Gamma \vdash \lambda x : T. u : T \rightarrow U
 \end{array}$$

$$\begin{array}{c}
 \text{T-Application} \\
 \Gamma \vdash t : U \rightarrow T \quad \Gamma \vdash u : U \\
 \hline
 \Gamma \vdash t u : T
 \end{array}$$

# Evaluation rules

- Syntax (terms, values, types)

$$t ::= x \mid v \mid t t$$
$$v ::= \lambda x : T . t \mid \text{true} \mid \text{false}$$
$$T ::= \text{bool} \mid T \rightarrow T$$

- Evaluation rules

$$\frac{t_1 \rightarrow t_1'}{t_1 t_2 \rightarrow t_1' t_2} \qquad \frac{t \rightarrow t'}{v t \rightarrow v t'}$$

$$(\lambda x : T . t) v \rightarrow [v/x]t$$

Evaluation rules do not bother with types.

Type safety  
= progress + preservation

**Progress:** If  $t$  is a closed, well-typed term, then either  $t$  is a value, or there exists some  $u$ , such that  $t \rightarrow u$ .

**Preservation:** If  $\Gamma \vdash t : T$  and  $t \rightarrow u$ , then  $\Gamma \vdash u : T$

Requires several trivial lemmas  
(properties) that are omitted here.

# A few extensions

- *Recursion* (fixed point combinator)
- *Unit type and sequencing* (for effects eventually)
- *Type annotation* (for documentation, abstraction)
- *Pairs* (as a simple form of type construction)
- *Lists* (another example of type construction)
- *Records* (as a first step towards objects)

# Recursion

- A fixed point combinator is definable in the untyped calculus.
- It is not definable in the simply typed version.
  - ♦ A special combinator is added to the formal system.
  - ♦ Alternatively, a more powerful type system is needed.

# Recursion in the presence of types

- Self application is not typeable:

$\lambda x : ? . x x$

- $Y$  is not typeable either.

- Solution: add a primitive **fix**.

$t ::= \dots \mid \text{fix } t$

Typing rule

$$\frac{\Gamma \vdash t : T \rightarrow T}{\Gamma \vdash \text{fix } t : T}$$

Evaluation rules

$$\frac{t \rightarrow t'}{\text{fix } t \rightarrow \text{fix } t'}$$
$$\text{fix } (\lambda x : T . t) \rightarrow [(\text{fix } (\lambda x : T . t)) / x] t$$

# Illustration of fix

$iseven : nat \rightarrow bool$

$iseven = fix\ g$

$g : (nat \rightarrow bool) \rightarrow nat \rightarrow bool$

$g = \lambda e : nat \rightarrow bool. \lambda x : nat.$

$if\ iszero\ x\ then\ true$

$else\ if\ iszero\ (pred\ x)\ then\ false$

$else\ e\ (pred\ (pred\ x))$

$g$  is a generator for the *iseven* function. Given a function that equates with *iseven* for numbers up to  $n$ ,  $g$  defines an approximation up to  $n + 2$ . *fix*  $g$  extends this to all  $n$ .



# Unit type and sequencing

- New syntax:  $t ::= \dots \text{unit} \mid t; t$
- New value:  $v ::= \dots \text{unit}$
- New type:  $T ::= \dots \text{unit}$
- Typing of unit and sequencing:

$$\Gamma \vdash \text{unit} : \text{unit}$$

$$\frac{\Gamma \vdash t : \text{unit} \quad \Gamma \vdash u : U}{\Gamma \vdash t; u : U}$$

- Evaluation of sequencing:

$$\frac{t \rightarrow u}{t; s \rightarrow u; s}$$

$$\text{unit}; u \rightarrow u$$

# Type annotation (ascription)

- Syntax:

$$t ::= \dots \mid t \text{ as } T$$

- Typing rule:

$$\frac{\Gamma \vdash t : T}{\Gamma \vdash t \text{ as } T : T}$$

- Evaluation rules:

$$\frac{t \rightarrow u}{t \text{ as } T \rightarrow u \text{ as } T}$$

$$v \text{ as } T \rightarrow v$$

# Pairs

New syntax:  $t ::= \dots \{t, t\} \mid t.1 \mid t.2$

New types:  $T ::= \dots T \times T$

- Typing of pairs:

$$\frac{\Gamma \vdash t : T \quad \Gamma \vdash u : U}{\Gamma \vdash \{t, u\} : T \times U}$$

$$\frac{\Gamma \vdash t : T \times U}{\Gamma \vdash t.1 : T}$$

$$\frac{\Gamma \vdash t : T \times U}{\Gamma \vdash t.2 : U}$$

- Evaluation rules:

$$\{v_1, v_2\}.1 \rightarrow v_1$$

$$\{v_1, v_2\}.2 \rightarrow v_2$$

$$\frac{t \rightarrow t'}{\{t, u\} \rightarrow \{t', u\}}$$

$$\frac{u \rightarrow u'}{\{v, u\} \rightarrow \{v, u'\}}$$

$$\frac{t \rightarrow t'}{t.1 \rightarrow t'.1}$$

$$\frac{t \rightarrow t'}{t.2 \rightarrow t'.2}$$

# Lists

- New type: ... |  $List\ T$
- New syntax: ... |  $nil[T]$  |  $cons[T]\ t\ t$  |  $isnil[T]\ t$  |  $head[T]\ t$  |  $tail[T]\ t$
- New congruence rules, e.g.: 
$$\frac{t_1 \rightarrow t'_1}{cons[T]\ t_1\ t_2 \rightarrow cons[T]\ t'_1\ t_2}$$
- New computation rules, e.g.:  $head[S]\ (cons[T]\ v_1\ v_2) \rightarrow v_1$
- New typing rules, e.g.: 
$$\frac{\Gamma \vdash t : List\ T}{\Gamma \vdash head[T]\ t : T}$$

# Records

- Pairs generalize to tuples.
- Tuples further generalize to records.
- Records generalize to extensible records.
- Extensible records generalize to objects.

We use the syntax:

```
{age=44, name="Smith"}           // record value  
{age=44, name="Smith"}.name     // field access
```

and write the types as:

```
{age=44, name="Smith"} : {age:Int, name:String}
```

# Records

- New syntax:  $t ::= \dots \{l_i = t_i^{i \in 1 \dots n}\} \mid t.l$
- New values:  $v ::= \dots \{l_i = v_i^{i \in 1 \dots n}\}$
- New types:  $T ::= \dots \{l_i : T_i^{i \in 1 \dots n}\}$
- Typing of records:

$$\frac{\text{for each } i, \Gamma \vdash t_i : T_i}{\Gamma \vdash \{l_i = t_i^{i \in 1 \dots n}\} : \{l_i : T_i^{i \in 1 \dots n}\}}$$

$$\frac{\Gamma \vdash t : \{l_i : T_i^{i \in 1 \dots n}\}}{\Gamma \vdash t.l_j : T_j}$$

- Evaluation rules:

$$\{l_i = v_i^{i \in 1 \dots n}\}.l_j \rightarrow v_j$$

$$\frac{t \rightarrow t'}{t.l \rightarrow t'.l}$$

$$\frac{t_j \rightarrow t'_j}{\{l_i = v_i^{i \in 1 \dots j-1}, l_j = t_j, l_k = t_k^{k \in j+1 \dots n}\} \rightarrow \{l_i = v_i^{i \in 1 \dots j-1}, l_j = t'_j, l_k = t_k^{k \in j+1 \dots n}\}}$$

# Prolog as a sandbox for semantics of lambda calculi

# Typed NB

<https://slps.svn.sourceforge.net/svnroot/slps/topics/semantics/nb/>



# Types in NB

T ::= types:  
Bool the Boolean type  
Nat the type of numeric values

# NB typing rules

$$\begin{array}{l} \text{T-True} \\ \text{true} : \text{Bool} \end{array} \quad \begin{array}{l} \text{T-False} \\ \text{false} : \text{Bool} \end{array} \quad \begin{array}{l} \text{T-If} \\ \frac{t_1 : \text{Bool} \quad t_2 : T \quad t_3 : T}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T} \end{array}$$
  
$$\begin{array}{l} \text{T-Zero} \\ \frac{}{0 : \text{Nat}} \end{array} \quad \begin{array}{l} \text{T-Succ} \\ \frac{t : \text{Nat}}{\text{succ } t : \text{Nat}} \end{array} \quad \begin{array}{l} \text{T-Pred} \\ \frac{t : \text{Nat}}{\text{pred } t : \text{Nat}} \end{array} \quad \begin{array}{l} \text{T-Iszero} \\ \frac{t : \text{Nat}}{\text{iszero } t : \text{Bool}} \end{array}$$

# NB typing rules

```
weltyped(true,bool).  
weltyped(false,bool).  
weltyped(zero,nat).  
weltyped(succ(T),nat) :- weltyped(T,nat).  
weltyped(pred(T),nat) :- weltyped(T,nat).  
weltyped(iszero(T),bool) :- weltyped(T,nat).  
weltyped(if(T1,T2,T3),T) :-  
  weltyped(T1,bool),  
  weltyped(T2,T),  
  weltyped(T3,T).
```

l:l mapping

# Conditional evaluation for typed **NB**

```
main(Input)
:-
    see(Input), read(Term), seen,
    format('Input term: ~w~n',[Term]),
    weltyped(Term,Type),
    format('Type of term: ~w~n',[Type]),
    manysteps(Term,X),
    show(X,Y),
    format('Value of term: ~w~n',[Y]).
```

# An applied, typed lambda calculus

<https://slps.svn.sourceforge.net/svnroot/slps/topics/semantics/lambda/>

# Revised syntax

- Lambda abstractions are annotated with types:

$$\lambda x : T. t$$

- Grammar of types:

$$T ::= \text{bool}$$
$$\text{nat}$$
$$T \rightarrow T$$

# Revised syntax

`:- ['./applied/term.pro'].`  
`:- ['./applied/value.pro'].`

Build on top of untyped  
applied lambda calculus.

The untyped version is no  
longer to be used.

`term(lam(X,A,T)) :- variable(X), type(A), term(T).`  
`term(fix(T)) :- term(T).`

`value(lam(X,A,T)) :- variable(X), type(A), term(T).`

`type(bool).`

`type(nat).`

`type(fun(A1,A2)) :- type(A1), type(A2).`

# Typing rules for simply-typed lambda calculus

- $x, y, z, f, g$  range over variables
- $s, t, u$  range over terms
- $S, T, U$  range over types

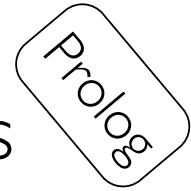
$$\frac{\text{T-Variable} \\ x : T \in \Gamma}{\Gamma \vdash x : T}$$

$$\frac{\text{T-Abstraction} \\ \Gamma, x : T \vdash u : U}{\Gamma \vdash \lambda x : T. u : T \rightarrow U}$$

$$\frac{\text{T-Application} \\ \Gamma \vdash t : U \rightarrow T \quad \Gamma \vdash u : U}{\Gamma \vdash t u : T}$$



# Typing rules for simply-typed lambda calculus



```
:- ensure_loaded('../..../shared/map.pro').
```

```
welltyped(G,var(X),A)
```

```
:-  
    member((X,A),G).
```

```
welltyped(G,app(T1,T2),B)
```

```
:-  
    welltyped(G,T1,fun(A,B)),  
    welltyped(G,T2,A).
```

```
welltyped(G1,lam(X,A,T),fun(A,B))
```

```
:-  
    update(G1,X,A,G2),  
    welltyped(G2,T,B).
```

# Lifted typing rules of NB

$\text{welltyped}(T,A) \text{ :- welltyped}(\square,T,A).$

$\text{welltyped}(\_,\text{true},\text{bool}).$

$\text{welltyped}(\_,\text{false},\text{bool}).$

$\text{welltyped}(\_,\text{zero},\text{nat}).$

$\text{welltyped}(G,\text{succ}(T),\text{nat}) \text{ :- welltyped}(G,T,\text{nat}).$

$\text{welltyped}(G,\text{pred}(T),\text{nat}) \text{ :- welltyped}(G,T,\text{nat}).$

$\text{welltyped}(G,\text{iszero}(T),\text{bool}) \text{ :- welltyped}(G,T,\text{nat}).$

$\text{welltyped}(G,\text{if}(T1,T2,T3),T) \text{ :-}$

$\text{welltyped}(G,T1,\text{bool}),$

$\text{welltyped}(G,T2,T),$

$\text{welltyped}(G,T3,T).$

We had to **rewrite** the typing rules for NB to *incorporate the typing context*.

# Small-step semantics

$$\frac{t_1 \rightarrow t_1'}{t_1 t_2 \rightarrow t_1' t_2} \qquad \frac{t \rightarrow t'}{v t \rightarrow v t'}$$

$$(\lambda x : T. t) v \rightarrow [v/x]t$$

Types play no role in the semantics.

# Update on evaluation rules

`:- ['./applied/eval.pro'].`

Build on top of untyped applied lambda calculus.

`eval(lam(X,_,T),lam(X,T)).`

`substitute(N,X,lam(Y,_,M),T) :- substitute(N,X,lam(Y,M),T).`

`freevars(lam(X,_,M),FV) :- freevars(lam(X,M),FV).`

“Type erasure” for lambdas

# The fix construct

Since fixed point combinators are not typeable in this calculus, we need `fix` instead.

Syntax **fix**.

$t ::= \dots \mid \text{fix } t$

Typing rule

$$\frac{\Gamma \vdash t : T \rightarrow T}{\Gamma \vdash \text{fix } t : T}$$

Evaluation rules

$$\frac{t \rightarrow t'}{\text{fix } t \rightarrow \text{fix } t'}$$
$$\text{fix } (\lambda x : T. t) \rightarrow [(\text{fix } (\lambda x : T. t))/x] t$$

# The fix construct

## Evaluation rules

$\text{eval}(\text{fix}(T1), \text{fix}(T2)) \text{ :- eval}(T1, T2).$   
 $\text{eval}(\text{fix}(\text{lam}(X, T1)), T2) \text{ :- substitute}(\text{fix}(\text{lam}(X, T1)), X, T1, T2).$   
 $\text{substitute}(N, X, \text{fix}(T1), \text{fix}(T2)) \text{ :- substitute}(N, X, T1, T2).$   
 $\text{freevars}(\text{fix}(T), FV) \text{ :- freevars}(T, FV).$

## Typing rule

$\text{welltyped}(G, \text{fix}(T), A)$   
 $\text{ :- }$   
 $\text{welltyped}(G, T, \text{fun}(A, A)).$



- **Summary:** *The typed lambda calculus*
  - ♦ *Typing relation carries argument for context.*
  - ♦ *Many forms of types can be added modularly.*
  - ♦ *Recursion requires built-in Y combinator.*
- **Prepping:** *“Types and Programming Languages”*
  - ♦ *Chapters 7 and 11*
- **Outlook:**
  - ♦ Lambda calculi with polymorphism
  - ♦ Process calculi
  - ♦ Object calculi